

**This form is to be used for Self-directed clients only, on Explicit Pricing.**

This application form is to be used with the Junior Investment ISA Transfer Authority. On receipt of any assets re-registered from your previous provider it will be Cofunds normal business practice (and by signing this application, you agree to such practice) to convert to the commission-free equivalent available to your intermediary. If a commission-free share class is not available, we will be unable to transfer the asset to Cofunds.

**The Junior Investment ISA allowance for all investors is £4,128 for the 2017/2018 tax year.**

Please complete this application form using black ink and BLOCK CAPITALS and return to: Willis Owen Limited, PO Box 766, Borehamwood, WD6 9JS

Cofunds Intermediary Authorisation Code

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Intermediary's Client/Deal Ref.

**1 Child Details** (please complete this section in full)

Existing Cofunds Client Reference

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I have not received advice from a financial adviser in relation to this investment.

Mr/Mrs/Miss/Other

Surname

Full first name(s)

Male  Female

Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_  
 D D M M Y Y Y Y

Current permanent residential address



Postcode

National Insurance Number \_\_\_\_/\_\_\_\_/\_\_\_\_/\_\_\_\_/\_\_\_\_  
 (if held)

If the child does not have a National Insurance Number, please tick here

**2 Applicant Details - Registered Contact\*** (please complete this section in full)

Existing Cofunds Client Reference

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Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Current permanent residential address



Postcode

\*The Registered Contact is a person with parental responsibility, or the child aged 16-18, if they so wish.

**3 Transfer Investment Choices**

I wish to transfer the proceeds of any investments sold, in accordance with the Transfer Authority into the following investments.

Please ensure the funds are available through Cofunds. You can only choose commission-free share class funds. If you do not indicate the share class, we'll select the commission-free share class funds, where available to your intermediary. Please note: if your intermediary has agreed exclusive share classes with a Fund Manager for your selected funds, we'll always invest in that exclusive share class and not the share class you've selected below. Please note: we're unable to allocate specific cash amounts to funds including the Cash Reserve for the transfer investment choice.

Fund manager, fund name and share class

Type of unit/share (delete as appropriate)\*  
 Transfer %

Fund manager, fund name and share class	Type of unit/share (delete as appropriate)*	Transfer %
(example) World Equity A Fund	N/A	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	
	ACC/INC	

\*ACC/INC - If you do not specify ACC or INC in this column, Cofunds will invest into accumulation units/shares where available.

## 4 Income



### Retain in fund

Income generated from this investment will be retained in the fund. We don't pay income from a Junior Investment ISA.

## 5 Declaration and Authorisation

### 5a. I declare that

I am aged 16 years or over.

I am the child/I have parental responsibility for that child.

I/the child does not have a Child Trust Fund account.

I will be the Registered Contact for the Junior Investment ISA.

The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.

I have not subscribed and will not subscribe to another stocks and shares Junior ISA for this child.

I am not aware that this child has another stocks and shares Junior ISA.

I have not received financial advice from a financial adviser in relation to this investment.

The child named above will be the beneficial owner of the account investments.

I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit.

I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded.

The information contained in this application form is correct to the best of my knowledge and belief.

### 5b. I authorise Cofunds to

Hold the child's subscriptions, Junior Investment ISA, interest, dividends and any other rights or proceeds in respect of those investments and cash, and;

Make on behalf of the child any claims to relief from tax in respect of Junior Investment ISA.

### 5c. I confirm that

I have viewed the Terms and Conditions of the Cofunds Junior Investment ISA and by signing this application form I agree to be bound by them.

I have viewed the relevant product Key Features and Fund specific information and/or Key Investor Information Documents (KIID) relating to my investment.

A copy of the KIID can be found via the following link (if you have difficulty locating your fund, please contact your intermediary):

[www.cofunds.co.uk/investorsreportsandaccounts](http://www.cofunds.co.uk/investorsreportsandaccounts)

### 5d. I understand that

It's Cofunds normal business practice to process my conversion following receipt of my assets from my previous Fund/Plan Manager. The instruction to convert is then passed to the Fund/Plan Manager who will convert and confirm back to Cofunds upon completion. Cofunds upon receipt of confirmation will then reflect the change(s) to my accounts. This process is subject to change dependant on volumes and individual Fund/Plan Manager processing procedures. I authorise Cofunds to convert on my behalf as outlined above.

I understand that Cofunds will convert commission-included share class funds to their commission-free share class equivalent where available to my intermediary.

I understand that Cofunds will convert commission-free share class funds to commission-free exclusive share class funds where available to my intermediary.

I understand that the Terms and Conditions of the Cofunds Junior Investment ISA are the standard terms upon which Cofunds intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.

I understand that my signed application form (once accepted by Cofunds) together with the Terms and Conditions of the Cofunds Junior Investment ISA form my customer agreement with Cofunds Limited.

I understand and accept that I am entitled to cancel my application in accordance with the Key Features of the Cofunds Junior Investment ISA and that if I choose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

### 5e. Notes

If you require a Fund prospectus, please contact your intermediary or Fund Manager directly.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box

If you wish to receive reports and accounts, you can download them free of charge at: [www.cofunds.co.uk/investorsreportsandaccounts](http://www.cofunds.co.uk/investorsreportsandaccounts)

If you wish to receive paper copies of reports and accounts please speak to your intermediary.

You can also request to receive paper copies of reports and accounts by writing to us at Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY.

A copy of the Terms and Conditions can be found at: [www.cofunds.co.uk/juniorisaterms](http://www.cofunds.co.uk/juniorisaterms)

Number of attached Transfer Authority Forms

Applicant signature  
(Registered Contact)

X

Date

**Please note: this form will be returned if it's not signed and dated.**

This form is to be used for Self-directed clients only, on Explicit Pricing.

**IMPORTANT NOTE:** this form cannot be used to transfer a Child Trust Fund to a Cofunds Junior Investment ISA (and vice versa).

This transfer authority should only be used for either the transfer of a stocks and shares Junior Investment ISA or a cash Junior Investment ISA into a Cofunds Junior Investment ISA. Please note that a separate authority will be required for each Plan/Account Manager. If transferring from more than one Plan/Account Manager, please request more Transfer Authority Forms from your intermediary. Please ensure that you have signed both the Transfer Application Form and the Transfer Authority Form.

Existing Cofunds Client Reference

**Please complete all details requested**

Please ensure that all details match those held by the existing Plan/Account Manager. Any differences could cause delays to the requested transfer.

Name of Plan/Account Manager

Address

Postcode

I hereby instruct my current Junior ISA Manager to either transfer my holdings to Cofunds Nominees Limited or liquidate the assets within my Junior Investment ISA with immediate effect, and forward the proceeds as specified below to my new Plan/Account Manager at Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY. I confirm that the re-registration of the funds listed will not change the beneficial ownership from the current holder. I confirm that this transaction is exempt from SDRT by virtue of paragraph 6 of Schedule 19 of the Finance Act 1999.

**Type of Junior Investment ISA with current provider to be transferred:**

Cash  Stocks and Shares

Applicant signature (Registered Contact)  X  Date

**1 Funds that you wish to KEEP via re-registration (stock transfer)**

Please list all funds that you hold with the above Plan/Account Manager that you wish to retain when you transfer your investment to Cofunds. Please ensure the funds you choose are available through Cofunds and are compatible with your investment service. These funds may be converted as detailed in the Declaration.

Fund manager, fund name and share class	A/C or plan nos. (This must be completed)	Type of unit/share (delete as appropriate)*
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC

\*If you do not specify ACC or INC in this column, Cofunds will not be able to process your application.

**2 Funds that you wish to SELL (stocks and shares cash transfer)**

Please list all funds that you hold with the above Plan/Account manager that you wish to sell and transfer the proceeds to Cofunds. Please also complete Section 4 of the Junior Investment ISA Transfer Application to tell us which funds you wish to reinvest into.

Fund manager, fund name and share class	A/C or plan nos. (This must be completed)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

**3 Cash Junior Investment ISA Transfer**

If applicable, please indicate either of the following to be transferred into your Cofunds Junior Investment ISA. Your client must be assigned to a Self-directed Explicit Pricing segment.

All my cash Junior Investment ISA  OR An amount of my cash Junior Investment ISA £  Sort Code --

Please state the notice period (if any), for you to transfer your cash Junior Investment ISA  Days A/C or plan nos. (This must be completed)